



# Know Your Client and Risk Warning

**Account Name (s):** \_\_\_\_\_

Xenia is obligated under Cayman laws to obtain some additional information in order to meet the requirements of the "Know-Your-Client". Xenia will not disclose or seek verification of this information by any third party.

## Forex Trading Experience:

- Beginner
  Experienced
  Very Experienced
  Professional
  Other

## Securities (Stocks, Bonds, Options, etc.) Trading Experience:

- Beginner
  Experienced
  Very Experienced
  Professional
  Other

## Describe Your Risk Tolerance:

- No Risk
  Low Risk
  Medium Risk
  High Risk
  Other

## Employment Status and Occupation:

- Employed
  Self-Employed
  Un-Employed
  Retired
  Other

**Occupation or Comments:**

## Annual Salary/Income and Sources of Income

- 0 -50,000
  50k – 100k
  100k – 200k
  200k – 500k
  Above 500k

### Describe the Sources of Income (Primary and Secondary):

Please give full particulars including country of origin. Phrases such as 'transferred from another company' or 'investments' are not sufficient. If the wealth is derived from the sale of a business, the description should indicate the name of the business & activities of the company. If the funds were generated through accumulated earnings, the affidavit should include information as to your employer, your position, & number of years employed. Specific details of the source of funds introduced are essential to enable us to confirm the funds are legal in origin.

---



---



---



---

## Net Worth:

- Below 50,000
  50k – 250k
  250k – 500k
  500k – 1 Million
  Above 1 Million

I Declare:

(Check box to declare): I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete. Where legally obliged to do so, I hereby consent to the recipient sharing this information with the relevant tax information authorities

## Risk Warning

Trading in financial products always involves a risk. As a general rule, you should therefore only trade in financial products if you understand the products and the risks associated with them.

### Foreign exchange trading (FOREX)

When trading in foreign exchange, the investor speculates in the development of the price of one currency relative to another, where one is sold and the other is purchased. By way of example, an investor may sell British pounds (GBP) against the US dollar (USD) if he expects that the USD will increase relative to the GBP.

Foreign exchange is traded as a margin product, which means that you can invest more money than is available in your account by borrowing money from Xenia. With Xenia Foreign exchange may be traded as FX Spot. FX Spot is the purchase of one currency against the sale of another for immediate delivery.

The currency exchange market is the world's largest financial market with 24 hour trading all working days. It is characterized, among other things, by a relatively low profit margin compared to other products. A high profit is therefore subject to a large trading volume, which is achieved for instance by margin trading as described above.

When trading in foreign exchange, a gain net of costs, such as commission and spread, realized by one market player will always be offset by another player's loss.

As foreign exchange is margin traded, allowing you to take a larger position than you would otherwise be able to, based on your funds with Xenia, a relatively small negative or positive market movement can have a significant effect on your investment. Foreign exchange trading therefore involves a relatively high level of risk. This makes the potential gain quite high, even if the deposit is relatively small. If your total exposure on margin trades exceeds your deposit, you risk losing more than your deposit.

### Risk Warning and Disclaimer:

Trading foreign exchange (Forex) carries a high level of risk, and may not be suitable for all investors. The possibility exists that you could sustain a loss of some or all of your deposited funds and therefore, you should not speculate with capital that you cannot afford to lose. Before deciding to trade Forex, you should carefully consider your objectives, financial situation, needs and level of experience. You should be aware of all the risks associated with Forex trading on margin.

Xenia provides general information that does not take into account your objectives, financial situation or needs. Any information provided by Xenia must not be construed as personal financial advice. Xenia recommends you seek advice from a separate certified independent financial advisor.

**Please note that all information supplied on this form is kept confidential.**

By signing this form, you agree that you have read this document, including the above description of FOREX and understand that trading Forex has high risk of loss. You also agree that the information provided in this form is, to the best of your knowledge and belief, accurate and complete.

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_